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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

MARK THE PADELWOIK REDUCTION ACT OF 1995.	Application Number	placetion of information unless it displays a valid OMB control number 10/748,771
TRANSMITTAL	Filing Date	12/29/2003
FORM	First Named Inventor	Edoardo Campini
	Art Unit	2836
(to be used for all correspondence after initial fil	Examiner Name ing)	Not Yet Assigned
Total Number of Pages in This Submission 9	Attorney Docket Number	110751-135440
Total Number of Pages in This Submission		110.07.100.40

•	ENCLOSURES (Check all that apply)												
	Fee Trans	smittal Fo	orm		Drawir	ng(s)				Allowance Communication to TC			
•	☐ Fe	ee Attach	ed	Licensing-related Papers					Appeal Communication to Board of Appeals and Interferences				
	Extension Express A	fter Final ffidavits/d of Time Abandonn on Disclos	eclaration(s) Request nent Request sure Statement	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD					Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Copies of 4 IDS References; Return Receipt Postcard				
	Certified ( Documen		riority	Rem	arks	_							
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53													
			SIGNA	TURE	OF A	PPLICANT, AT	TORNEY, C	R AG	ENT				
Firm Name SCHWABE, WILLIAMSON					ATT, P.0	C.							
Signat	ure		Man										
Printed	d name	Aloysius	T.C. AuYeung										
Date	09/20/2005						Reg. No.	35432					
CERTIFICATE OF TRANSMISSION/MAILING													
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Signate	ure		Wither	Ma a	mo	7571							
Typed or printed name Heather L. Adam				son					Date	09/20/2005			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

SEP 2 2 2005

Edoardo Campini et al.

Application No.: 10/748,771

Filed: 12/29/2003

For: BACKSIDE COOLING

APPARATUS FOR MODULAR

**PLATFORMS** 

**Examiner: Not Yet Assigned** 

Art Group: 2836

Confirmation No. 8217

## **CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or Printed: Heather A Adamson

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): \_37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA: (2) Within three months of entry into National Stage; (3) Before mailing of a first Office Action on the merits: or (4) Before mailing of a first Office Action after filing an RCE. X 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); X (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS.

A check in the amount of \$180.00 is enclosed.

(2) The fee set forth in 37 C.F.R. § 1.17(p).

Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT copies of references waived under:

(A) one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X
to the left of the appropriate paragraph(s)):
37 C.F.R. § 1.98(c) as being cumulative (marked with an "*" on the Form PTO-1449).
37 C.F.R. § 1.98(d) as being previously submitted in parent application/,, to which the present application claims priority under 35 U.S.C. § 120.
(B) 1273 Off. Gaz. Pat. Office 55 as being submitted with respect to an application
filed after June 30, 2003.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393.

> Respectfully submitted, SCHWABE, WILLIAMSON & WYATT, P.C.

Dated:

Aloysius T.C. AuYeung

Reg. No. 35,432

Pacwest Center, Suite 1900 1211 SW Fifth Avenue Portland, Oregon 97204 Telephone: 503-222-9981

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substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known								
Application Number	10/748,771							
Filing Date	12/29/2003							
First Named Inventor	Edoardo Campini							
Art Unit	2836							
Examiner Name	Not Yet Assigned							
Attorney Docket Number	110751-135440							

		····	U. S. PATEN	DOCUMENTS	<del></del>
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,473,510	12/05/1995	Dozier, II	
		US-			
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		FORE	IGN PATENT DOCL	JMENTS			
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T⁵	
	2	JP 04162459	06/05/1992	Fujitsu Ltd.			
	3	JP 07007109	01/10/1995	Sony Corp.			
	4	JP 2003218570	07/31/2003	TS Heatronics			
	5	JP 2003258467	09/12/2003	Meidensha Corp.			

Examiner Signature					Date Conside	reo i	·		 -

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\)Applicant is to place a check mark here if English language Translation is attached.

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